DERIVATIVES SERVICE BUREAU

UAT DISASTER RECOVERY TEST

BACKGROUND

- Following discussions with the TAC in March 2019 (here[1]), the DSB proposed that disaster recovery testing will be conducted in an inter-region manner, with its users. In the October 2020 TAC meeting (here[2]), TAC members agreed that the UAT-DR test should proceed as early as possible in 2021.

- This programme incorporates several phases, beginning with work to ensure all client types can route to and integrate with the DSB's UAT environment in the DR region, located in North America.

- This is the first time the DSB is undertaking a disaster recovery test with Industry.

[1]https://www.anna-dsb.com/download/20190313-dsb-tac-report-member-final-01/
[2]https://www.anna-dsb.com/download/20201028-dsb-tac-report-member-final-01/

SCOPE

The scope of the UAT-DR test is as follows:

<u>In scope</u>

- DSB UAT Environment (next release) components
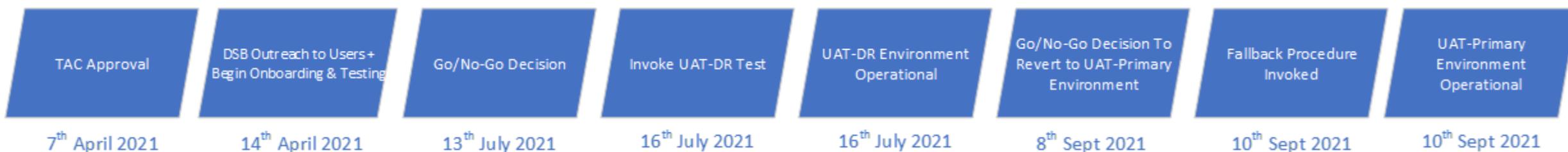
<u>Out of Scope</u>

- UAT ToTV functionality

- DSB UAT2 Environment (as-production)

- DSB Production Environment

Note: For fee-paying users who still require the UAT ToTV service, the ToTV service on the UAT2 environment can be used during this period. However, users must complete the onboarding documentation for UAT2 (here[3]) and send to the DSB Technical Support Team to be onboarded onto the UAT2 environment.

[3]https://www.anna-dsb.com/download/dsb-uat2-registration-form/

TIMELINES

- Following approval from the TAC as part of the April 2021 TAC meeting (here[4]), the UAT-DR test will begin on Friday16th July 2021 and finish on Friday 10th September 2021. Please find the timeline below:

TAC Approval	DSB Outreach to Users + Begin Onboarding & Testing	Go/No-Go Decision	Invoke UAT-DR Test	UAT-DR Environment Operational	Go/No-Go Decision To Revert to UAT-Primary Environment	Fallback Procedure Invoked	UAT-Primary Environment Operational
7th April 2021	14th April 2021	13th July 2021	16th July 2021	16th July 2021	8th Sept 2021	10th Sept 2021	10th Sept 2021

- <u>Affected Changes</u>

  - As of 14th April 2021, there are no DSB product changes scheduled to be released in UAT during the timeline illustrated above. This is dependent on no new product change requests from Industry, during the UAT-DR test timeline.

  - API access users who will not participate in the UAT-DR test, will not be able to continue to test their changes against the UAT environment, for example, the ISDA 2019 change, tentatively scheduled to be deployed to UAT on Saturday 3rd July 2021.

[4]https://www.anna-dsb.com/download/20210407-dsb-tac-report-member-final-02/

REQUIRED USER ACTIONS

UAT-DR Registration

- UAT API users who wish to continue to access the DSB's next release UAT functionality during the UAT-DR test are required to complete the UAT-DR registration form (found here[5]).

- Once completed, the form must be sent to the DSB Technical Support Team (technical.support@anna-dsb.com) to be processed.

- The UAT-DR registration form must be sent to the DSB Technical Support Team no later than the **16th May 2021**. Later submissions will mean the DSB cannot guarantee your UAT-DR connection will be onboarded in time for the UAT-DR test.

Connectivity and Functionality Test

- Once registered, users must undertake self-certification by completing the connectivity and functionality test form (found here[6]).
  - GUI users are not required to undertake onboarding; however, they will be required to complete the connectivity and functionality test form.

If you have any queries or concerns, please notify the DSB Technical Support Team (technical.support@anna-dsb.com), as soon as possible.

[5]https://www.anna-dsb.com/download/dsb-uat-dr-registration-form/
[6]https://www.anna-dsb.com/download/uat-dr-connectivity-and-functionality-testing/